SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). 

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

															4					
1. Name and Address of Reporting Person* Kline John						2. Issuer Name <b>and</b> Ticker or Trading Symbol New Mountain Finance Corp [ NMFC ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 12/12/2017								x	below)			10% Owner Other (specify below)			
C/O NEW MOUNTAIN CAPITAL, L.L.C.														President and COO						
787 7TH AVENUE, 48TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)														X Form filed by One Reporting Person Form filed by More than One Reporting Person						
NEW YORK														Form file	d by More	than C	ne Reportin	ig Person		
(City)	(State)	(Zi	p)																	
		Та	ble I - No	n-Der	ivative \$	Securitie	s Acq	uired,	Disp	osed of	, or	Benefi	cially Ov	/ned						
Date					nsaction h/Day/Year)	Execution if any	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				Following	urities neficially Owned lowing Reported		vnership : Direct (D) direct (I) - 4)	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) or (D) Pri		Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>				(Instr. 4)		
Common Stock 12/1					12/2017			Р		900		Α	\$14.195	43,584.33			D			
Common Stock 12/1					12/2017			A		3,064		A	\$14.175	46,648.33			D			
Common Stock 12/1					12/2017			A		3,536		A	\$14.19	50,184.33			D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	4. Transaction Code (Instr 8)	n Derivat Securit Acquir or Disp	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		6. Date Exerci Expiration Dat (Month/Day/Yo		7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4)		nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s illy g	ly ly (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
								Date		Expiration			or Number		(					

Exercisable

(D)

Expiration

Date

Explanation of Responses:

Remarks:

## /s/ John Kline

Title

\*\* Signature of Reporting Person

of Shares

12/13/2017 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V. (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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