SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Ogens David						2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [ NMFC ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				vner
(Last)	(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 11/12/2015									Officer (g below)	give title		Other (specify below)		
C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year) 11/16/2015								6. Indiv X					
(Street)															Form file	d by More	than O	ne Reportir	g Person
NEW YORK	NY 10019																		
(City)	(State)	(Zij	(Zip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					e nth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				nd 5) Securities Beneficia Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(instr. 4)
Common Stock 11/1					12/2015				Р		1,656	5	Α	\$14.12	39,2	39,243(1)		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)			4. Fransacti Code (Ins 3)			6. Date Exercisable and Expiration Date (Month/Day/Year)			Secu Deriv	tle and A urities Un vative Se tr. 3 and 4	derlying curity	8. Price of 9. Num Derivative derivar Security Securi (Instr. 5) Benefi Ownec Follow Report Transa		e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Explanation of Responses:

1. This amendment to the Form 4 filed on November 16, 2015 is being filed to correct a mathematical error in Column 5 of Table I, which previously indvertently included an additional 644 shares held by the reporting person. No other changes are being made hereby.

Date

Exercisable

Expiration

Title

Date

Remarks:

## /s/ David Ogens

\*\* Signature of Reporting Person

Number

of Shares

02/16/2016 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code V

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5