# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

## **CURRENT REPORT**

Pursuant to section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): July 19, 2016 (July 19, 2016)

New Mountain Finance Corporation (Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation or organization) 814-00832 (Commission File Number) 27-2978010 (IRS Employer Identification Number)

787 7<sup>th</sup> Avenue, 48<sup>th</sup> Floor, New York, NY 10019 (Address of principal executive offices)

Registrant's telephone number, including area code (212) 720-0300

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:
☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

1

## Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On July 19, 2016, the Board of Directors (the "Board") of New Mountain Finance Corporation (the "Company") approved a key promotion.

John R. Kline, a Managing Director of New Mountain Capital, L.L.C. and Executive Vice President and Chief Operating Officer of the Company, has been promoted to President in addition to maintaining his role as Chief Operating Officer of the Company. Robert Hamwee, who previously held the title of President and Chief Executive Officer, will continue to serve as the Chief Executive Officer of the Company.

Mr. Kline, 40, has held various titles at New Mountain Capital, L.L.C. since 2008. Prior to joining New Mountain Capital, L.L.C. in 2008, he worked at GSC Group from 2001 to 2008 as an investment analyst and trader for GSC Group's control distressed and corporate credit funds. From 1999 to 2001, Mr. Kline was with Goldman, Sachs & Co. where he worked in the Credit Risk Management and Advisory Group. Mr. Kline has been a director of Unitek Global Services, Inc. since January 2015. Mr. Kline received an A.B. degree in History from Dartmouth College.

Mr. Kline was not appointed as an officer of the Company pursuant to any arrangement or understanding with any other person.

The Company issued a press release announcing this promotion, a copy of which is attached hereto as Exhibit 99.1.

Item 9.01.

Financial Statements and Exhibits.

d) Exhibits.	
Exhibit Number	Description
99.1	Press Release, dated July 19, 2016

2

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

# NEW MOUNTAIN FINANCE CORPORATION

Date: July 19, 2016 By: /s/ Karrie J. Jerry

Name: Karrie J. Jerry Title: Corporate Secretary

#### **New Mountain Finance Corporation Announces Management Promotion**

#### John R. Kline Promoted to President

NEW YORK--(BUSINESS WIRE)--July 19, 2016--New Mountain Finance Corporation (NYSE:NMFC) (the "Company") announced today that John Kline has been promoted to President in addition to maintaining his role as Chief Operating Officer of New Mountain Finance Corporation ("NMFC"). Robert Hamwee, who previously held the title of President and Chief Executive Officer ("CEO"), will continue in his capacity as CEO of NMFC.

"On behalf of the Board, we congratulate John on his promotion to President", said Steve Klinsky, Chairman of the Board of NMFC and Founder and CEO of New Mountain Capital. "John joined New Mountain in 2008 at the inception of the Firm's credit effort and has served as a senior executive at New Mountain Finance Corporation since our IPO in 2011. John's promotion to President reflects his significant contribution to NMFC and the firm as a whole."

"On behalf of the entire NMFC team, I would like to congratulate John on his promotion," said Robert Hamwee, CEO and board member of NMFC. "We look forward to continuing our track-record of delivering attractive risk-adjusted returns to our shareholders with the support of John and the rest of the team."

#### ABOUT NEW MOUNTAIN FINANCE CORPORATION

New Mountain Finance Corporation is a closed-end, non-diversified and externally managed investment company that has elected to be regulated as a business development company under the Investment Company Act of 1940, as amended. The Company's investment objective is to generate current income and capital appreciation through the sourcing and origination of debt securities at all levels of the capital structure, including first and second lien debt, notes, bonds and mezzanine securities. In some cases, investments may also include small equity interests. The Company's investment activities are managed by its Investment Adviser, New Mountain Finance Advisers BDC, L.L.C., which is an investment adviser registered under the Investment Advisers Act of 1940, as amended. More information about New Mountain Finance Corporation can be found on the Company's website at <a href="https://www.newmountainfinance.com">https://www.newmountainfinance.com</a>.

#### FORWARD-LOOKING STATEMENTS

Statements included herein may contain "forward-looking statements", which relate to our future operations, future performance or our financial condition. Forward-looking statements are not guarantees of future performance, condition or results and involve a number of risks and uncertainties. Actual results and outcomes may differ materially from those anticipated in the forward-looking statements as a result of a variety of factors, including those described from time to time in our filings with the Securities and Exchange Commission or factors that are beyond our control. New Mountain Finance Corporation undertakes no obligation to publicly update or revise any forward-looking statements made herein. All forward-looking statements speak only as of the time of this press release.

#### CONTACT:

New Mountain Finance Corporation Investor Relations Shiraz Y. Kajee, Authorized Representative +1 (212) 220-3505 NMFCIR@newmountaincapital.com