SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burder 0.5 hours per response:

T

10.

Form:

Ownership

Direct (D)

or Indirect

(I) (Instr. 4)

Note⁽²⁾ See

Note⁽³⁾

11. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* KLINSKY STEVEN B				2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]						onship of Reporting Person(s) to Issuer Il applicable) Director 10% Owner			
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 02/16/2016						Officer (give title below)	Other (below)	(specify	
C/O NEW MOUNTAIN CAPITAL, L.L.C. 787 7TH AVENUE, 49TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indivi X	, , ,			
(Street) NEW YORK NY 10019										Form filed by More	than One Reporti	ng Person	
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Ye	Execution Date,	3. Transaction Code (Instr. 8)		4. Securities A Of (D) (Instr. 3,		A) or Disposed	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)	
Common Stock			02/16/2010	6	Р		84,780	A	\$11.7157(1)	4,327,825	D		
Common Stock			02/17/201	6	Р		200,000	A	\$12.0179(1)	4,527,825	D		
Common Stock										759,957	I	See	

Common Stock

1. Title of

Derivative

Security (Instr. 3)

Explanation of Responses:

1. The price reported is the average weighted price. The shares were purchased in multiple transactions. The reporting person undertakes to provide to the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased

Date

Exercisable

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and

Expiration

Date

Expiration Date (Month/Day/Year)

5. Number of

Derivative

Securities

Acquired (A)

or Disposed of

(D) (Instr. 3, 4 and 5)

(D)

2. The Steven B. Klinsky Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

v (A)

Transaction

Code (Instr.

8)

Code

3. The Steven B. Klinsky Non-GST Exempt Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

/s/ Steven B. Klinsky

7. Title and Amount of

Securities Underlying Derivative Security

(Instr. 3 and 4)

Title

** Signature of Reporting Person

Amount

of Shares

or Number

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

3. Transaction

(Month/Day/Year)

Date

Conversion

or Exercise

Price of

Derivative

Security

3A. Deemed

if any

Execution Date

(Month/Dav/Year)

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

02/18/2016 Date

133,456

9. Number of

derivative

Securities

Following

Reported Transaction(s)

(Instr. 4)

Owned

Beneficially

8. Price of

Derivative

Security

(Instr. 5)