SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). 

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPRO	VAL
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Wolfgruber By Child -Christian

K. Wolfgruber Kurt J. Wolfgruber Trustee

U/W of Paul J.

h-														
1. Name and Addres Wolfgruber K			er Name <b>and</b> Ticker <u>Mountain Fir</u>				(Cheo	lationship of Reporting k all applicable)						
(Last)	(First) (Middle) EW MOUNTAIN FINANCE CORPORATION H AVENUE, 48TH FLOOR CORK NY 10019 (State) (Zip) Table I - Non- f Security (Instr. 3)			e of Earliest Transac /2015	tion (Mor	nth/Da	y/Year)		Director Officer (give title below)	Othe	10% Owner Other (specify below)			
C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR (Street) NEW YORK NY 10019 (City) (State) (Zip) Table I - Non-Der 1. Title of Security (Instr. 3) 2. Tran			4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
	NY							Form filed by More than One I		Reporting Person				
(City)	(State)	(Zip)												
		Table I - No	on-Derivative	Securities Acc	quired,	, Dis	posed of, o	r Bene	ficially O	wned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock			12/14/2015		Р		3,500	Α	\$12.37	36,571.5	D			
Common Stock			12/14/2015		Р		8,100	Α	\$12.35	22,779	I	By Spouse		
Common Stock			12/14/2015		Р		500	A	\$12.34	1,804.05	I	By Child - Heidi C. Wolfgruber		
Common Stock			12/14/2015		Р		500	A	\$12.35	1,804.05	I	By Child - Hayley C.		

Р

500

Α

\$12.36

1,804.05

2,000

12/15/2015

Date

															Wolfgruber
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
			c	Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s (Instr. 4)		

Explanation of Responses:

Common Stock

Common Stock

/s/ Kurt Wolfgruber

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

12/14/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.