SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person * Stone James				lssuer Name and Ticke ew Mountain Fi					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last)	(First)	(Middle) L.L.C.		Date of Earliest Transac /28/2015	ction (Mo	onth/Da	ay/Year)	x	Director Officer (give title below) Section		(specify			
787 7TH AVENUE, 48TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) NEW YORK	NY	10019						X	Form filed by More than One Reporting F		ng Person			
(City)	(State)	(Zip)												
		Table I - N	on-Derivati	ive Securities Ac	quired	l, Dis	sposed of,	or Bene	eficially Ow	ned				
Date			2. Transaction Date (Month/Day/Ye	Execution Date,	3. Transaction Code (Instr. 8)		4. Securities A Of (D) (Instr. 3		A) or Disposed	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock 09/28			09/28/201	5	Р		1,025	A	\$13.5639(1)	71,793.482	D			

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Common Stock	09/2	28/2015				Р		790	Α	\$ 13.552 ⁽¹⁾ 72,583		3.482	D			
Common Stock	09/2	28/2015				Р		1,000	Α	\$13.55 ⁽¹⁾	73,58	3.482	D			
Common Stock	09/2	/28/2015				Р		185	A \$13.385 ⁽¹⁾		73,768.482		D			
Common Stock											8,117	.6721	Ι	By Spouse		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
Derivative Conversion Date Execution Security (Instr. 3) or Exercise (Month/Day/Year) if any		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		ate	7. Title and Securities I Derivative S (Instr. 3 and	Underlying Security	8. Price of Derivative Security (Instr. 5)	9. Number or derivative Securities Beneficially Owned Following Reported Transaction	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
												Amount		(Instr. 4)	(3)	

Explanation of Responses:

1. The price reported is the average weighted price. The shares were purchased in multiple transactions. The reporting person undertakes to provide to the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased.

(D)

Date

Exercisable

Expiration

Title

. Date

/s/ James Stone ** Signature of Reporting Person

Number

of Shares

09/30/2015 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

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