SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	34
or Section 30(h) of the Investment Company Act of 1940	

1. Name and Address of Reporting Person * Wolfgruber Kurt			2. Issuer Name and Ticker or Trading Symbol New Mountain Finance Corp [ NMFC ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			
(Last) (First) (Middle) C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR (Street)			- 3. Date of Earliest Transaction (Month/Day/Year) 03/16/2015	Officer (give title Other (specify below) below)			
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
NEW YORK	NY	10019	_				
(City)	(State)	(Zip)					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							

1. Title of Security (Instr. 3)			Date	nsaction n/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (li 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	:		03/	16/2015		Р		14,000	A	\$14.3799	14,000	I	By Spouse
Common Stock	:										30,810.09	D	
Common Stock	ſ										1,680.69	I	By Child - Heidi C. Wolfgruber
Common Stock	:										1,680.69	I	By Child - Hayley C. Wolfgruber
Common Stock	5										1,680.69	I	By Child - Christian K. Wolfgruber
Common Stock											2,000	I	Kurt J. Wolfgruber Trustee U/W of Paul J. Wolfgruber
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Inst 8)		Expiration (Mont	te Exer ation I th/Day/		7. Title and Securities Derivative (Instr. 3 and	Security	Derivative deriva Security Secur (Instr. 5) Benef Owne Follow Report	ities Form: icially Direct (D d or Indire ving (I) (Instr.	Beneficial ) Ownership ct (Instr. 4)

Date

Exercisable

(D)

Expiration

Title

Date

Explanation of Responses:

/s/ Kurt Wolfgruber

\*\* Signature of Reporting Person

Amount

Number

of Shares

or

<u>03/17/2015</u> Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.