SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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> K. Wolfgruber Kurt J. Wolfgruber Trustee

U/W of

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Wolfgruber Kurt				2. Issuer Name and Ticker or Trading Symbol <u>New Mountain Finance Corp</u> [NMFC]						ationship of Reporting k all applicable)		
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 12/17/2014						Director Officer (give title below)		Owner r (specify v)
C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR				4. If Amendment, Date of Original Filed (Month/Day/Year)						idual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person		
(Street) NEW YORK NY 10019									Form filed by Mo	e than One Repo	rting Person	
(City)	(State)	(Zip)										
		Table I - No	on-Derivative	Securities Ac	quired	, Dis	posed of, o	r Bene	ficially Ov	wned		
			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
					Code	v	Amount	(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 		(Instr. 4)
Common Stock			12/17/2014		Р		5,000	A	\$14.067	30,233.34	D	
Common Stock										1,643.13	I	By Child - Heidi C. Wolfgruber
Common Stock										1,643.13	I	By Child - Hayley C. Wolfgruber
Common Stock										1.643.13	I	By Child - Christian

Paul J. Wolfgruber Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 11. Nature 10. Transaction Securities Underlying Ownership Derivative Conversion Date Execution Date Derivative Expiration Date Derivative derivative of Indirect Security (Instr. 3) or Exercise (Month/Day/Year) Code (Instr. Securities (Month/Day/Year) **Derivative Security** Securities Form: Beneficial if any Security Direct (D) Price of (Month/Day/Year) 8) Acquired (A) (Instr. 3 and 4) (Instr 5) Beneficially Ownership or Disposed of (D) (Instr. 3, 4 and 5) Derivative Owned or Indirect (Instr. 4) Security Following (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) or Expiration Date Date Number v (A) (D) Title Code Exercisable of Shares

Explanation of Responses:

Common Stock

/s/ Kurt Wolfgruber

** Signature of Reporting Person

<u>12/18/2014</u> Date

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.