SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: Estimated average burden hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* KLINSKY STEVEN B				2. Issuer Name and Ticker or Trading Symbol New Mountain Finance Corp [NMFC]						ionship of Reporting F all applicable) Director	()	0 Issuer 10% Owner		
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 11/11/2014						Officer (give title below)	Other (specify below)			
C/O NEW MOUNTAIN CAPITAL, L.L.C. 787 7TH AVENUE, 49TH FLOOR			4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) NEW YORK	NY	10019								Form filed by More	than One Reporti	ng Person		
(City)	(State)	(Zip)												
		Table I - N	lon-Derivati	ive Securities Ac	quired	l, Dis	sposed of, o	or Bene	eficially Ow	ned				
Date			2. Transaction Date (Month/Day/Ye	Execution Date,	3. 4. Securities Acquired (A) or Di Transaction Of (D) (Instr. 3, 4 and 5) Code (Instr. 8)			A) or Disposed	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
					Code	v	Amount	(A) or (D)	Price	• Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock			11/11/2014	4	Р		73,788	A	\$14.7479(1)	2,363,992	D			
Common Stock			11/12/2014	4	Р		61,000	A	\$14.9002 ⁽¹⁾	2,424,992	D			
Common Stock										118,452	I	See Note ⁽²⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, ca	ills, warrants, op	tions, convertible	securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	ľ		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Reported	Ownership Form: Direct (D)	Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

Common Stock

1. The price reported is the average weighted price. The shares were purchased in multiple transactions. The reporting person undertakes to provide to the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased.

2. The Steven B. Klinsky Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

3. The Steven B. Klinsky Non-GST Exempt Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

/s/ Steven B. Klinsky 11/13/2014

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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Note⁽³⁾

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