SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Ogens David					2. Issuer Name and Ticker or Trading Symbol New Mountain Finance Corp [NMFC]								(Checl	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 08/12/2014									Director Officer (g below)	ive title		10% O Other (below)		
C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indi X	vidual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) <u>NEW YORK</u> NY 10019 (City) (State) (Zip)															Form life	a by more	than C	one Reportir	ig Person
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					L. Transaction Jate Month/Day/Year) Addition Date, if any (Month/Day/Year)		Execution Date, if any				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar				Securities Beneficiall Following	Amount of curities meficially Owned llowing Reported ansaction(s)		vnership 1: Direct (D) direct (I) r. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)		
Common Stock 08/1						8/12/2014			Р		10,00	0	Α	\$14.98	31,124			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, T		Transaction Code (Instr. 3)		Derivat Securit Acquire or Disp	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ion Da Day/Yo		or		derlying curity !) Amount	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

/s/ David Ogens

** Signature of Reporting Person

<u>08/13/2014</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.